





C.

Key Federal Laws & Regulations

- Sarbanes-Oxley Act (SOX)
- Health Insurance Portability & Accountability Act (HIPAA)
- Gramm-Leach-Bliley Act (GLBA)
- Regulations from
 - □Securities & Exchange Commission (SEC)
 - Health & Human Services (HHS)
 - □Federal Trade Commission (FTC)
- General requirements
 - Mandated protection for consumer & patient personally identifiable information (PII)
 - Periodic management testing of internal controls
 Continuous process improvement (policies, tests,

reports)

题 Other US Federal Laws & NORWIC **Regulations: E911** Enhanced 911 (E911) Federal Communications Commission (FCC) Mobile phones must process 911 calls > Allow geolocation Phase I: report location of antenna receiving 911 call Phase II: report location of phone ±50-300m Not required for VoIP used for internal business only

唐

NORWIC

Other US Federal Laws & Regulations: CALEA (1)

- Communications Assistance for Law Enforcement (CALEA)
- > Interception of call content (wiretap)
- > Discovery of call-identifying information (dialednumber extraction)
- > Requires telecoms to support legal demands for info
- Packet Technologies & Systems Committee (PTSC) Lawfully Authorized Electronic Surveillance (LAES) for VoIP Technologies
 - □Part of Wirelines Telecommunications Networks, V2 (Rev T1.678-2004)



CALEA (3)

> FCC's role

- □§102: FCC has authority to identify communications services subject to CALEA
- §103: carrier must ensure compliance with access

□§105: FCC must define security & integrity regulations □§109: FCC must refine *reasonable achievability* of goals

Key issue: who is responsible for compliance?

CALEA refers to common carriers for hire

□What about internal VoIP service for 1 organization? Some interpretations (still under debate) suggest that

even internal networks subject to CALEA

Discuss with attorneys specializing in FCC law



International Laws & Considerations

- International picture varies extensively
- Consult local attorneys specializing in communications law for specific jurisdictions <5
- http://ec.europa.eu/justice/data-protection/index en.htm "Everyone has the right to protection of personal data" "Under EU law, personal data can only be gathered legally under strict conditions, for a legitimate purpose,"
- "Furthermore, persons or organisations which collect and manage your personal information must protect it from misuse and must respect certain rights of the data owners which are guaranteed by EU law.
- Text of EPD at < <u>http://tinyurl.com/3d5hup2</u> >



2

NORWICH

Risk Analysis (1): SOX

- Most important: effect on financial statements
- Threshold uncertain: e.g., 5% of net income
- Resolve differences quickly among external & internal financial auditors
- Risk control matrix
- □ldentify & describe key / primary controls
- > Segregation of Duties (SoD) matrix
 Employee activities / roles / functions
 Acceptable / not acceptable
 Stimulate thinking about VoIP management











Signaling Protocols SIP: Session Initiation Protocol □ Interactive multimedia sessions between users □VoIP, video conferencing, online games Most commonly used protocol for VoIP ≻ H.323 □ Supports older, analog telecommunications gea Used in enterprise installations for VoIP & video calls **Call initiation** □ VoIP sets up call using SIP or H.323 □ Exchange control parameters (e.g., encryption, compression algorithms) □RTP packetizes voice data UDP packet add addressing & sequencing data □ Receiver uses "jitter buffer" to assemble packets































